UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR

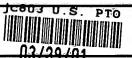
Attorney Docket No.

205305US2

First Inventor or Application Identifier

DATA RECORDING APPARATUS, D OPTICAL RECORDING MEDIUM

RECORDING METHOD, AND



Assignee Name: SONY CORPORATION

Assignee Address:

7-35, KITASHINAGAWA 6-CHOME SHINAGAWA-KU, TOKYO JAPAN

Yukio SHISHIDO

| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents | Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231 | | | | | |
|--|--|--|--|--|--|--|
| Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) | ACCOMPANYING APPLICATION PARTS | | | | | |
| , | 7. □ Assignment Papers (cover sheet & document(s)) | | | | | |
| 2. ■ Specification Total Sheets 34 | 8. Application Data Sheet. See 37 CFR 1.76 | | | | | |
| | 9. 37 C.F.R. §3.73(b) Statement Power of Attorney (when there is an assignee) | | | | | |
| 3. ■ Drawing(s) (35 U.S.C. 113) Total Sheets (Formals) | 10. □ English Translation Document (if applicable) | | | | | |
| | 11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations | | | | | |
| 4. ■ Oath or Declaration Total Pages 3 | 12. □ Preliminary Amendment | | | | | |
| a. Newly executed (original) | 13. White Advance Serial No. Postcard | | | | | |
| b. Copy from a prior application (37 C.F.R, §1.63(d)) (for continuation / divisional w/ box 17 completed) | 14. Certified Copy of Priority Document(s) (1) | | | | | |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b). | 15. ☐ Applicant claims small entity status. See 37 CFR 1.27 | | | | | |
| 5. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | 16. ■ Other: Notice of Priority | | | | | |
| 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | | | |
| a. Computer Readable Form (CRF) | | | | | | |
| b. Specification or Sequence Listing on: | | | | | | |
| i. CD-ROM or CD-R (2 copies); or | | | | | | |
| ii. 🛘 Paper | | | | | | |
| c. Statements verifying identity of above copies | | | | | | |
| 17. If a CONTINUING APPLICATION, check appropriate box, and supp | by the requisite information below: | | | | | |
| ☐ Continuation ☐ Divisional ☐ Continuation-in | | | | | | |
| Prior application information: Examiner: | Group Art Unit: | | | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the pric considered a part of the accompanying continuation or divisional application and is when a portion has been inadvertently omitted from the submitted application parts | or application, from which an oath or declaration is supplied under Box 4b, is hereby incorporated by reference. The incorporation can only be relied upon it. | | | | | |
| 18. Amend the specification by inserting before the first line the se | ntence: | | | | | |
| ☐ This application is a ☐ Continuation ☐ Division | □ Continuation-in-part (CIP) | | | | | |
| of application Serial No. Filed on | | | | | | |
| Which was published in English | | | | | | |
| ☐ Which was not published in English | I Nie | | | | | |
| ☐ This application claims priority of provisional application Seria | l No. Filed | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | |
| 22850 (703) 413-3000 | | | | | | |

| Name: | Marvin J. Spivak | Registration | n No.: | 24,913 |
|------------|----------------------------|-------------------|--------|---------|
| Signature: | Omn Maylen | | Date: | 3/29/01 |
| Name: | C. Irvin McClelland | Registration No.: | | |
| | Registration Number 21.124 | | | |

FACSIMILE: (703) 413-2220

Docket No.

205305US2





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Yukio SHISHIDO SERIAL NO: New Application

FILING DATE: Herewith

FOR: DATA RECORDING APPARATUS, DATA RECORDING METHOD, AND OPTICAL RECORDING

MEDIUM

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS | 29 - 20 = | 9 | × \$18 = | \$162.00 |
| INDEPENDENT CLAIMS | 4 - 3 = | 1 | × \$80 = | \$80.00 |
| □ MULTIPLE DEPENDE | \$0.00 | | | |
| □ LATE FILING OF DECLARATION + \$130 = | | | | \$0.00 |
| | \$710.00 | | | |
| | \$952.00 | | | |
| □ REDUCTION BY 50% I | \$0.00 | | | |
| □ FILING IN NON-ENGLISH LANGUAGE | | | + \$130 = | \$0.00 |
| □ RECORDATION OF ASSIGNMENT | | | + \$40 = | \$0.00 |
| | | | TOTAL | \$952.00 |

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of

<u>\$952.00</u>

3/29/01

to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Date:

22850 Tel. (703) 413-3000

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/00) Marvin J. Spivak

Registration No. 24,913 C. Irvin McClelland

C. Irvin McClelland
Registration Number 21,124